



5790 Widewaters Parkway, 2nd Floor
Dewitt, New York 13214

Qualified Plan Pre-Proposal Analysis

1) Business Name: _____

2) Business Start Date: _____

3) Is this the only business you own? Yes [] No []

4) Is your tax year Fiscal or Calendar? _____

5) Do you use a payroll service? Yes [] No []

6) Are you using leased employees or self-employed consultants? Yes [] No []

7) Do you employ union workers or nonresident aliens? Yes [] No [] _____

8) Is there a Qualified Plan in place currently? Yes [] No []

9) Are there other incentive/retention plans in place? _____

If yes, what are they and how are they dispensed: _____

10) What percent, in general, of your company's positions are specialized or involve significant training?

11) Would you characterize your employee pool as having a low, medium or high turnover? _____

12) How much has your employee base grown since inception? _____

Do you expect this same rate of growth going forward? _____

13) Overall, would you consider your staff/employees happy with their positions and their workplace?

14) Is your company's revenue/cash flow somewhat predicible year to year? _____

Company Name: _____

Type of Entity: _____

Plan Year: _____

INITIAL EMPLOYEE CENSUS INFORMATION

EMPLOYEE	SEX	DATE OF BIRTH	DATE OF HIRE	SALARY	UNDER 1000 HOURS (Y OR N)	KEY PERSON Y OR N